

Anyonic braiding in optical lattices

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Topological quantum states of matter, both Abelian and non-Abelian, are characterized by excitations whose wavefunctions undergo nontrivial statistical transformations as one excitation is moved (braided) around another. Topological quantum computation proposes to use the topological protection and the braiding statistics of a non-Abelian topological state to perform quantum computation. The enormous technological prospect of topological quantum computation provides new motivation for experimentally observing a topological state. Here, we explicitly work out a realistic experimental scheme to create and braid the Abelian topological excitations in the Kitaev model built on a tunable robust system, a cold atom optical lattice. We also demonstrate how to detect the key feature of these excitations: their braiding statistics. Observation of this statistics would directly establish the existence of anyons, quantum particles that are neither fermions nor bosons. In addition to establishing topological matter, the experimental scheme we develop here can also be adapted to a non-Abelian topological state, supported by the same Kitaev model but in a different parameter regime, to eventually build topologically protected quantum gates.

Quantum computers utilize intrinsically quantum mechanical properties of matter to perform some difficult computational tasks, such as prime factorization, exponentially faster than classical computers (1). However, quantum computation, although being possible in principle, is turning out to be difficult because quantum error corrections are very hard to carry out, and without error correction, no substantial computation process, quantum or classical, is feasible. Unfortunately, the tolerance for errors in a quantum error correction scheme (2), is very small, which leads to the necessity for a very large number of additional “physical” qubits (quantum bits) for each “logical” qubit in a complex quantum computer architecture. In this context, a revolutionary recent development is the concept of topological quantum computation (3–8). A topological quantum computer is robustly protected from local errors by the physical hardware and one does not, in principle, need any software-level quantum error correction protocols that are required for a regular qubit-based quantum computer (9–14). The topological state of matter has enhanced ground state symmetries that do not exist in the bare Hamiltonian of the system. This enhanced topological symmetry protects the ground state from quantum errors associated with external fluctuations, providing the robustness needed for fault-tolerant quantum computation.

The early proposal (3, 4) for topological quantum computation was studied mostly as a deep mathematical curiosity because no physical implementation was thought to be possible. This all changed recently when serious specific suggestions (15) were made to study non-Abelian topological order through manipulating delicate fractional Quantum Hall (FQH) states in low-temperature two-dimensional electron layers as an initial step to building a topological quantum computer in the laboratory. These suggestions have generated a great deal of interest in a broad spectrum of disciplines including physics, mathematics, computer science, and of course, quantum computation. Several groups are currently working on carrying out experiments to see whether FQH topological quantum computation is feasible even as a matter of principle.

The main problem in carrying out topological quantum computation using FQH states is that there is essentially no experimental evidence determining whether the actual experimentally observed $5/2$ and $12/5$ FQH states are, in fact, non-Abelian states, allowing quantum computation. Therefore, initial experimental work will be directed entirely toward an experimental demonstration of the topological nature of these states. Such an experimental demonstration by itself will be important because topological quantum states have never been directly observed experimentally.

In this article, we discuss a different situation, where the topological nature of the quantum state is assured by design; i.e., the quantum state is constructed as a topological state. These are model systems controlled by Hamiltonians whose properties guarantee topological protection. The most famous example of this is the magnetic Kitaev lattice, described in the pioneering papers (2, 3) on topological quantum computation. The Kitaev model is an exactly soluble lattice model that carries excitations with both Abelian and non-Abelian anyonic braiding statistics, which are the hallmarks of topological quantum matter; i.e., excitations that do not obey ordinary bosonic and fermionic statistics, but are anyons with more complex statistical behavior (5) arising from braiding. The usual definition of permutation statistics for fermions and bosons can be thought of as a half braid of one particle around another of the same species followed by a translation to effectively exchange the positions of the two particles. The net result is an overall gain in a plus or minus sign in the wavefunction for bosons or fermions, respectively. Note that a full braid (a closed loop) does not result in a sign change. The Abelian anyon wavefunction, by contrast, acquires a phase factor upon a full braid of one anyon around another, whereas a braid of non-Abelian anyons unitarily transforms the wavefunction as a vector in a finite-dimensional Hilbert space (5), making the successive braiding operations noncommutative.

Precise proposals to construct an artificial Kitaev lattice using atomic optical lattices have recently been made in the literature (16, 17). Therefore, we know how to make a Kitaev lattice, and we also know that such a lattice supports both Abelian and non-Abelian topological phases, and, in both phases, the topological robustness is guaranteed. In addition, recent numerical results (18) show that weak, local perturbations (e.g., a stray Zeeman field or unwanted interaction terms) do not destroy topological order. However, the problem that has remained unclear, and what we discuss here, is a way to carry out the topological gating operations, called “braiding” in the technical literature, on such an optical-lattice-based topological system and subsequently detect the results. Our suggested braiding technique, which requires successive manipulations of adjacent lattice sites that we work out in detail, can not only be implemented on the proposed Kitaev optical lattice, but can also be used in other proposals for doing topological quantum compu-

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tation in optical lattices; a bosonic model involving the extended Hubbard model (19) is one example.

We note that a proposal (20) for observing the Abelian anyonic phase in a rotating Bose–Einstein condensate (BEC) consisting of a small number of atoms has recently been made in the literature. The proposed system is essentially a small continuous quantum Hall liquid and completely different from the large discrete Kitaev lattice system discussed here. As we will see, the origin and creation of anyonic excitations, the braiding operation, the detection of statistics, and even the large size ($\sim 10^5$ atoms) of the lattice system are completely different in ways that make optical lattices, and specifically the Kitaev model, a more attractive candidate for realizing and detecting topological matter.

We stress that the techniques for braiding and read-out proposed here provide a necessary first step in eventually performing topological quantum computation in optical lattices. Here, we should make a clear distinction between quantum computation using Abelian and non-Abelian systems. An Abelian anyonic system has two degenerate ground states that cannot mix by a weak local external perturbation in the sense that the errors induced by local perturbations are exponentially suppressed $\sim \exp(-L/\xi)$, where L is the linear size of the system and ξ is a characteristic length inversely proportional to the excitation gap (3, 4). In the ground state sector, one thus has a topologically protected two-state system that, on multiply connected surfaces, can be duplicated to produce an array of qubits and used for topological quantum memory (3, 4). Quantum computation can then be accomplished by devising the conventional non-topologically protected single- and two-qubit gates. In a non-Abelian topological phase (qubits are topologically protected here as well), on the other hand, the quantum gates can be constructed simply by braiding one quasiparticle around another, thereby exploiting the statistical effects of these braids. Therefore, implementation of these gates is immune to local deformations of the braiding trajectory since the effects of the braid transformations are statistical and hence only depend on the braid topologies. In this sense, the putative quantum gates are noiseless.

Recently, Ioffe *et al.* (21) proposed building Josephson-junction arrays to simulate the quantum dimer model on some frustrated lattices which in turn supports topological phases and quantum computation in the Abelian setting. However, the corresponding Josephson-junction architecture for a non-Abelian phase is extremely complex (22). The beauty of the Kitaev model is that, in contrast to the quantum dimer model, it can support both the Abelian and the non-Abelian phases just by varying the optical lattice parameters. Optical lattices offer a much more coherent and tunable quantum system than the Josephson-junction system necessary for the implementation of the topological phases. Therefore, with a view to an eventual topological quantum computer built with the non-Abelian phase, we focus our attention here on the Kitaev optical lattice model. Our work here clarifies the nature of the elementary excitations, the origin of the topological phase change acquired by the wavefunction upon braiding, and how one can experimentally carry out the braiding operation and detect the braiding statistics in the Abelian phase of the Kitaev lattice, all of which are directly applicable to the more complex non-Abelian phase. In the non-Abelian phase, although the precise mathematical construction of the braiding operator remains, as of now, unknown (A. Kitaev, personal communication) and work in this direction is required, it is clear that on the operational level it involves the same successive single-site spin manipulations as we discuss here, and so the underlying experimental techniques remain the same. Thus, we take an important first step towards topological quantum computation in optical lattices. Furthermore, even the simple observation of Abelian topological (“anyonic”) proper-

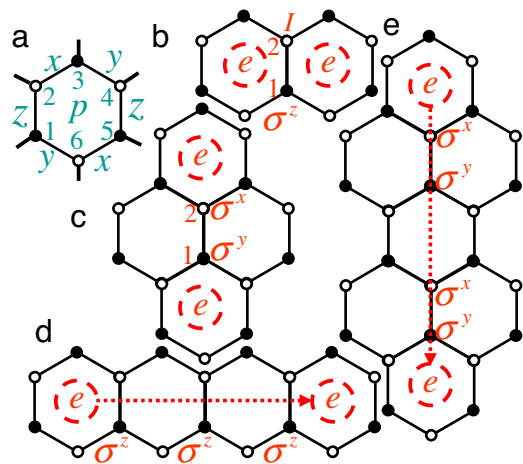


Fig. 1. Creating and moving anyons in Kitaev lattices. (a) Links x , y , and z on a honeycomb plaquette, p , with sites depicted by open and filled circles. (b and c) A horizontal (b) and vertical (c) pair of e vortices created by the application of the spin operator, $\bar{\sigma}_i^z = \sigma_i^z I_2$ (b) and $\bar{\sigma}_i^y = \sigma_i^y \sigma_i^z$ to two sites along a z link, where I is the unit operator. (d and e) Horizontal (d) and vertical (e) move of an e vortex by repeated applications of $\bar{\sigma}^z$ (d) and $\bar{\sigma}^y$ (e) operators.

ties in an optical lattice along the lines of our proposed braiding procedure and the subsequent read-out scheme will be a breakthrough achievement in itself, because anyonic statistics have never been directly demonstrated in any experimental system.

The Kitaev model describes a set of individual spins placed at the vertices of a two-dimensional honeycomb lattice with a spatially anisotropic interaction between neighboring spins. The Hamiltonian is given by (4):

$$H = -J_x \sum_{x\text{-link}} \sigma_j^x \sigma_k^x - J_y \sum_{y\text{-link}} \sigma_j^y \sigma_k^y - J_z \sum_{z\text{-link}} \sigma_j^z \sigma_k^z, \quad [1]$$

where J_α are interaction parameters and σ_j^α are the Pauli matrices at the site j , for $\alpha = x, y, z$. Normally, neighboring spins in Heisenberg models interact isotropically so that the spin–spin interaction does not depend on the spatial direction between neighbors. In the above model, however, neighboring spins along links pointing in different directions (see Fig. 1a) interact differently. This model contains conserved quantities allowing an exact solution for both the ground and excited states. Two distinct regimes, defined solely by the interaction parameters, carry excitations with either Abelian or non-Abelian braiding statistics.

Ultra-cold atoms in optical lattices offer the possibility of designing such anisotropic lattice models (16, 17). Without loss of generality, we focus on the proposal in ref. 16 and present a modified implementation scheme for ^{87}Rb atoms with a slightly different laser configuration. Consider a ^{87}Rb Bose–Einstein condensate prepared in the hyperfine ground state $|\downarrow\rangle \equiv |F=1, m_F=-1\rangle$ and confined to a honeycomb optical lattice in a single two-dimensional (XY) plane, where F and m_F denote the total angular momentum and the magnetic quantum number of the hyperfine state. The atomic dynamics along the Z axis are frozen out by optical traps with a high trapping frequency (23). Two hyperfine ground states $|\uparrow\rangle \equiv |F=2, m_F=-2\rangle$ and $|\downarrow\rangle \equiv |F=1, m_F=-1\rangle$ are defined as the effective atomic spin. We apply three pairs of far red-detuned interfering traveling laser beams (wavelength $\lambda_0 = 850$ nm) above the XY plane with an angle $\varphi = 2\arcsin(\lambda_0/\lambda_s \sqrt{3})$, where $\lambda_s = 787.6$ nm is the wavelength of the spin-dependent laser beams described below. The projections on the XY plane of the three pairs of lasers are along the angles $\pm \pi/6$ and $\pi/2$, respectively. These interfering laser beams form

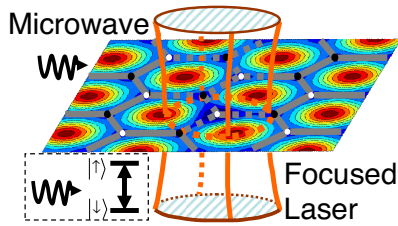


Fig. 2. The two-dimensional plane plots the color scaled potential seen by atoms sitting in the honeycomb lattice but in the presence of a focused laser. Dark blue indicates the potential minimum for the spin down hyperfine state, whereas dark red indicates the maximum. A schematic of the focused laser extends out of the plane. Microwave pulses drive the transitions between two spin states (*Inset*), but only for an atom at the center of the focused beam. Atoms at sites away from the center experience a weak potential which keeps the hyperfine levels off resonance.

for the spin state $|\downarrow\rangle$ with a depth chosen to be $V_{\downarrow} = -35E_R$, but a blue-detuned trap for spin state $|\uparrow\rangle$ with depth $V_{\uparrow} = 18E_R$ (corresponding to a power of $8.5\mu\text{W}$ with beam waist $\sim 0.5\mu\text{m}$). The wavelength of the laser is chosen to be $\lambda = 421\text{ nm}$, which corresponds to a detuning $\Delta_1 = -2\pi \times 1209\text{ GHz}$ from the transition $5^2S_{1/2} \rightarrow 6^2P_{3/2}$ to obtain the maximum ratio between the hyperfine splittings of two spin states and the spontaneous scattering rate (26).

A microwave pulse applied to the whole system will rotate the spin state of the target atom. The microwave frequency is chosen to be resonant with the hyperfine splitting of the target atom where the focused laser is applied, but has a detuning estimated to be $\hbar\delta \approx 52E_R$ for nontarget atoms. Different spin rotations σ^x and σ^y (note that $\sigma^z = i\sigma^x\sigma^y$ is a combination of σ^x and σ^y), may be implemented using different phases $\varphi = \pi/2$ and $\varphi = 0$ of the microwave pulse, where φ is defined through the magnetic field of the microwave $B \propto \cos(\vec{k} \cdot \vec{r} - \omega t + \varphi)$ with $\vec{r} = 0$ as the position of the target atom. A Gaussian shaped pulse $\Omega(t) = \Omega_0 \exp(-\omega_0^2 t^2)$ ($-t_f \leq t \leq t_f$) with parameters $\omega_0 = \delta/4$ and $\omega_0 t_f = 7$ (the pulse period $2t_f = 55\mu\text{s}$) is used to perform single spin operations. The variations of probabilities of nontarget atoms in hyperfine states $|\uparrow\rangle$ and $|\downarrow\rangle$ caused by the microwave pulse are found from the Rabi equation to be $<10^{-2}$. In addition, refocusing microwave pulses can be used to eliminate the phase variations of neighboring atoms due to the Rabi pulses (26). By combining estimates from the adiabaticity criteria and the Rabi equation, we find that the single-spin operations may be accomplished in $\sim 200\mu\text{s}$ (including ramping up and down of the focused laser, the microwave pulse period) and the probability to spontaneously scatter an unwanted photon is estimated to be small, 1.5×10^{-4} . The total probability for scattering a photon due to the focused laser is $\sim 1 \times 10^{-2}$ in the whole braiding process, which consists of ~ 60 single-spin operations for the detection of anyonic statistics. In addition, the spontaneous emission probability due to the spin-dependent lattices is $\sim 2 \times 10^{-2}$. The focused lasers need to be spatially stabilized because a displacement of the laser center from the minimum of the optical lattice potential induces a detuning of the microwave from the hyperfine splitting between two spin states of the target atom, and thus reduces the fidelity of the single-spin rotation. For a small displacement, 10 nm , we estimate the detuning to be $\sim 2\pi \times 350\text{ Hz}$ and find through integrating the Rabi equation that the fidelity of the rotation is degraded by 3×10^{-3} .

During the single-spin operations (but after the adiabatic ramp up), we keep the lattice depth high, which aids in defining multisite operations. The single-spin operations can be accomplished very fast ($\sim 0.2\text{ ms}$) compared to $\hbar J_{\text{eff}}^{-1}$, and the double-spin operation $\bar{\sigma}^y$ may be taken to be two consecutive single-spin

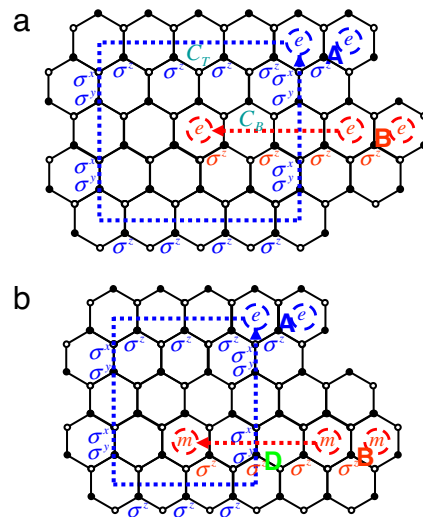


Fig. 3. Anyonic braiding procedures in Kitaev lattices. (a) A braid of an e vortex, along a path C_T (blue dotted line) starting from the point A , around an e vortex that started from the point B and moved along a path C_B (red dotted line). The top e vortex forms a closed loop through a series of elementary moves generated from spin operators. When the e vortices return to their starting positions, the resulting state is the same as the starting ground state, ψ_g . (b) The same as a , but for an e vortex braided around an m vortex. Here, spin commutation relations at the point D yield a final state $-\psi_g$, indicating anyonic statistics between e and m vortices.

operations. Although each single operation σ^y or σ^x does not preserve the spin subspace $\{|\uparrow\uparrow\rangle, |\downarrow\downarrow\rangle\}$, the spin-spin interactions along the z link that preserve the spin alignment are weak (almost zero) and can be neglected; therefore, two consecutive spin operations are equivalent to a double-spin operation. This procedure allows for the creation and braiding of vortices at specifically chosen locations. Note that errors in braiding operations originating from imperfect single- or double-spin operations as well as the impact on nontarget atoms can be automatically corrected by the topological properties of the Hamiltonian. When the optical lattice depth is lowered, the Kitaev Hamiltonian energetically penalizes unwanted local excitations. The result is a decay to the prepared topologically protected sector in the presence of a bath. However, the leakage errors caused by spontaneously scattered photons are not automatically corrected because atoms are scattered to other hyperfine states, and thus are out of topological protected subspace of states.

After creating excitations, we need a braiding procedure that contains a series of spin operations in order to observe the topological phase. The e and m vortices discussed here are anyons because the wavefunction acquires a minus sign upon a full braid of one flavor of vortex around the other flavor (braiding around a vortex of the same flavor does not produce a sign change). A braid along a path C is defined through a contiguous string of spin rotations traversing the lattice (3): $R_C = \prod_{k \in C} \exp(-i\tau\bar{\sigma}_k^{\alpha_k})$, where the direction of the spin operator, α_k , is determined by the direction of the move. Note that each move progresses by creating two new vortex excitations on neighboring plaquettes, which annihilates the original vortex on one plaquette and subsequently creates a vortex on the neighboring plaquette. Such processes must be much faster than the time scale $\hbar J_{\text{eff}}^{-1} \gg 10\text{ s}$ set by the excitation energy gap, which is clearly satisfied in our scheme. Fig. 1 d and e shows two types of moves, horizontal and vertical, and the associated spin operators, which can be accomplished by applying suitable procedures for single spin manipulation described above.

Fig. 3 shows two examples of braiding procedures: one e vortex

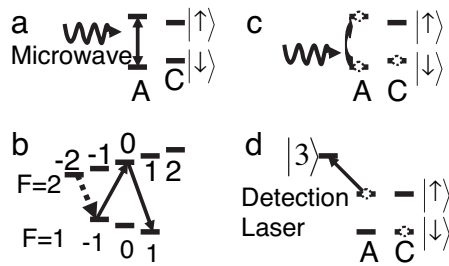


Fig. 5. Series of experimental steps used to measure the spin–spin correlation function of two spins. A indicates either one of the two spins, whereas C indicates all other spins in the lattice.

basis $\{|++\rangle, |+-\rangle, |-+\rangle, |--\rangle\}$ using the relation $T_i^{xx} = P_{|++\rangle} + P_{|--\rangle} - (P_{|+-\rangle} + P_{|-+\rangle})$, where $|\pm\rangle \equiv (|\downarrow\rangle \pm |\uparrow\rangle)/\sqrt{2}$.

The experimental scheme is plotted and described using four steps as shown in Fig. 5. (i) Using single spin operations with focused lasers and microwave pulses, we apply $\pi/2$ pulses sequentially to both atoms D' and F along the σ^x spin axis to transfer atoms to the new basis (Fig. 5a) To prevent fluorescence signal from non-target atoms during further detection processing we transfer all atoms in the state $|\downarrow\rangle = |F=1, m_F=-1\rangle$ to the state $|F=1, m_F=1\rangle$ by two π microwave pulses, then all atoms at the state $|\uparrow\rangle = |F=2, m_F=-2\rangle$ are transferred to the state $|\downarrow\rangle$ by another π microwave pulse (Fig. 5b). (iii) With the assistance of focused lasers, we select only atoms at sites D' and F and transfer them from state $|\downarrow\rangle$ back to $|\uparrow\rangle$ (Fig. 5c). (iv) A detection laser that is resonant with $|\uparrow\rangle \rightarrow |3\rangle \equiv |5^2 P_{3/2}; F=3, m_F=-3\rangle$ is applied to detect the probability of finding the atoms at $|\uparrow\rangle$ (corresponding to the basis state $|- \rangle$) (Fig. 5d). The fluorescence signal (the number

of scattered photons) has three quantized levels, which correspond to states $|++\rangle, |--\rangle, |+-\rangle$ (or $|-+\rangle$), respectively. Repeating the entire experiment many times yields the probabilities $P_{|++\rangle}, P_{|--\rangle}$ and $P_{|+-\rangle} + P_{|-+\rangle}$, and thus determines the spin correlator T_i^{xx} . Similarly, we can measure the spin correlation function T_i^{yy} with different basis states $\{|+'+\rangle, |+'-\rangle, |-'+\rangle, |-'-\rangle\}$, where $|\pm'\rangle = (|\downarrow\rangle \pm i|\uparrow\rangle)/\sqrt{2}$ define a basis for atom D' . The only difference for above processes in measuring T_1^{xx} and T_1^{yy} is that the $\pi/2$ pulse on atom D' in step i is along the σ^x spin axis. In discussing these steps, we have applied a very general technique, a measure of the two-spin correlation function to reveal the presence of excitations of a braided state at the specific location, D' , and therefore anyonic statistics through the fluorescence of selected atoms.

We have shown how to create, braid, and detect Abelian anyons in a spin model defined on a honeycomb optical lattice. Our proposed observation of anyonic statistics utilizes two important precursors necessary for topological quantum computation: (i) establishing the existence of a topological phase of matter, and (ii) defining a braiding and readout procedure for executing suitably defined elementary gate operations with the goal of using topological excitations for quantum computation. Our braiding and detection techniques can also be used to generate different types of excitations useful in creating a set of topologically protected quantum gates using non-Abelian anyons, which may be found in the model discussed here but in a different parameter regime or in different models implemented with optical lattices.

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Corrections

PHYSICS. For the article “Anyonic braiding in optical lattices,” by Chuanwei Zhang, V. W. Scarola, Sumanta Tewari, and S. Das Sarma, which appeared in issue 47, November 20, 2007, of *Proc Natl Acad Sci USA* (104:18415–18420; first published November 13, 2007; 10.1073/pnas.0709075104), the authors note the following: “On page 18419, right column, first full paragraph, line 8, the statement that the spin–spin correlators T_1^{xx} and T_1^{yy} along a z-link cannot be zero simultaneously is incorrect. They are, in fact, both zero for the Kitaev model and, therefore, cannot be used for detecting anyonic statistics. Instead, one should measure the nonzero spin–spin correlator $T_i^{xx} = \langle \psi_i | \sigma_D^x \cdot \sigma_V^x | \psi_i \rangle$ of two atoms at D' and V along an x-link for detecting anyonic statistics. This error does not invalidate the article’s other conclusions.”

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INAUGURAL ARTICLE, BIOCHEMISTRY, APPLIED MATHEMATICS. For the article “A mathematical tool for exploring the dynamics of biological networks,” by Paolo E. Barbano, Marina Spivak, Marc Flajolet, Angus C. Nairn, Paul Greengard, and Leslie Greengard, which appeared in issue 49, December 4, 2007, of *Proc Natl Acad Sci USA* (104:19169–19174; first published November 21, 2007; 10.1073/pnas.0709955104), the authors note that ref. 23 appeared incorrectly. The online version has been corrected. The corrected reference appears below.

23. Aldridge BB, Haller G, Sorger PK, Lauffenburger DA (2006) *Syst Biol (Stevenage)* 153:425–432.

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